

Docket: 14097.01

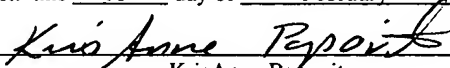
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	10/706,443	
Filing Date:	November 12, 2003	Examiner: Not Yet Known
Title:	<b>IMPROVED SEAL FOR A SHAFT</b>	Group Art Unit: Not Yet Known

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 10 day of February, 2004.

  
KrisAnne Popovits

Dear Sir:

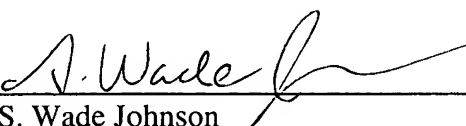
The following documents are enclosed in connection with the above-referenced patent application:

1. Information Disclosure Statement Under 37 CFR 1.97(b) (2 pages);
2. Form PTO/SB/08A (1 page, submitted in duplicate);
3. Copies of Eight (8) References Cited; and
4. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP  
Customer Number 25763

Date: 2-10-04

By:   
S. Wade Johnson  
Reg. No. 50,873  
Intellectual Property Department  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 340-8835



Docket: 14097.01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor:	Michael R. Oldenburg	
Application No.:	10/706,443	
Filing Date:	November 12, 2003	Examiner: Not Yet Known
Title:	<b>IMPROVED SEAL FOR A SHAFT</b>	Group Art Unit: Not Yet Known

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail  
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450 on this 10 day of February, 2004.

  
KrisAnne Popovits

Dear Sir:

Pursuant to 37 CFR § 1.97(b), the references listed on the attached Form PTO/SB/08A (1 sheet, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the identified references are enclosed as necessary. This IDS is being filed before the mailing of a first office action on the merits. In accordance with 37 CFR § 1.97(b), no statement or fee is required.

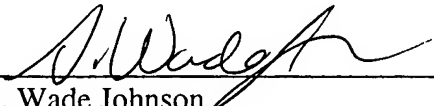
Pursuant to MPEP 2001.06(c), the Applicant is making the Examiner aware of litigation involving Applicant. The case is entitled Transcom, Inc. v. Universal Motion Components Company, Inc., Court File No. 8:03 CV 223, United States District Court, District of Nebraska. Transcom is asserting U.S. Patents Nos. 6,186,507, 6,406,026, and 6,464,228 against the defendant. The references listed on the attached Form PTO/SB/08A were recently identified by defendant in the litigation, and other associated patents and/or documents may come to our attention later.

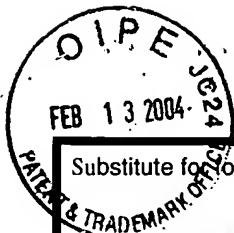
Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicant requests that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the enclosed PTO/SB/08A (submitted in duplicate).

Respectfully submitted,

DORSEY & WHITNEY LLP  
Customer Number 25763

Date: 2 - 10 - 04

By:   
S. Wade Johnson  
Reg. No. 50,873  
Intellectual Property Department  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 340-8835



Substitute for Form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	10/706,443
Filing Date	November 12, 2003
First Named Inventor	Michael R. Oldeburg
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	14097.01

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,275,333	9-27-1966	Scott et al.	
		US- 3,341,265	9-12-1967	Paterson	
		US- 4,208,057	6-17-1980	Messenger	
		US- 4,283,063	8-11-1981	Prescott	
		US- 4,428,586	1-31-1984	Romero	
		US- 4,432,557	2-21-1984	Drucktenhengst	
		US- 4,799,808	1-24-1989	Otto	
		US- 5,553,870	9-10-1996	Czekansky et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

*Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.